

FORM PTO-1449 (MODIFIED)

LIST OF PUBLICATIONS FOR
APPLICANT'S INFORMATION
DISCLOSURE STATEMENT

Applicant(s): Y. Gao et al.
 Docket No.: YOR920010149US1
 Serial No.: 10/139,255 09/776654
 Filing Date: May 3, 2002
 Group: 2174

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS/SUBCLASS	FILING DATE IF APPROPRIATE
<i>[Signature]</i>	1. 6,594,629	07/15/03	Basu et al.		
<i>[Signature]</i>	2. 09/015,150	01/29/98	"Apparatus and Method for Generating Phonetic Transcriptions from Enrollment Utterances".		
<i>[Signature]</i>	3. 09/507,526	02/18/00	"Systems and Methods for Synchronizing Multi-Modal Interactions".		

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NO.	DATE	COUNTRY	CLASS/SUBCLASS	TRANSLATION YES NO

OTHER DOCUMENTS

EXAMINER

INITIAL	REF NO.	AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.
<i>[Signature]</i>	1.	C.V. Neti et al., "Word-Based Confidence Measures as a Guide for Stack Search in Speech Recognition," ICASSP97, Munich, Germany, 4 pages, April 1997.
<i>[Signature]</i>	2.	N.R. Garner et al., "Robust Noise Detection for Speech Detection and Enhancement," Electronics Letters, Vol. 33, No. 4, pp. 270-271, February 1997.
<i>[Signature]</i>	3.	B.S. Atal et al., "A Pattern Recognition Approach to Voiced-Unvoiced-Silence Classification with Applications to Speech Recognition," IEEE Transactions on Acoustics, Speech, and Signal Processing, Vol. ASSP-24, No. 3, pp. 201-212, June 1976.
<i>[Signature]</i>	4.	H.S.M. Beigi et al., "IBM Model-Based and Frame-by-Frame Speaker-Recognition," Speaker Recognition and its Commercial and Forensic Applications, Avignon, France, 4 pages, 1998.
<i>[Signature]</i>	5.	L. Wiscott et al., "Recognizing Faces by Dynamic Link Matching," Proceedings of the ICANN '97, Paris, pp. 1-6, 1995.
<i>[Signature]</i>	6.	H. Ney, "On the Probabilistic Interpretation of Neural Network Classifiers and Discriminative Training Criteria," IEEE Transactions on Pattern Analysis and Machine Intelligence, Vol. 17, No. 2, pp. 107-119, February 1995.
<i>[Signature]</i>	7.	M. Lincoln et al., "A Comparison of Two Unsupervised Approaches to Accent Identification," ICSLP '98, Sidney, 4 pages, 1998.

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6/09

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

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8. D. Matrouf et al., "Language Identification Incorporating Lexical Information, ICSLP '98, 4 pages, 1998.
9. K.A. Papineni et al., "Free-Flow Dialog Management Using Forms," Proc. Eurospeech, Budapest, 4 pages, 1999.
10. K. Davies et al., "The IBM Conversational Telephony System for Financial Applications," Proc., Eurospeech, Budapest, 4 pages, 1999.
11. R. Brunelli, "Estimation of Pose and Illuminant Direction for Face Processing," Image and Vision Computing 15, pp. 1-10, 1997.
12. N. Kruger et al., "Determination of Face Position and Pose with a Learned Representation Based on Labelled Graphs," Image and Vision Computing 15, pp. 1-19, 1997.
13. P.N. Belhumeur et al., "Eigenfaces vs. Fisherfaces: Recognition Using Class Specific Linear Projection," IEEE Transactions on Pattern Analysis and Machine Intelligence, Vol. 19, No. 7, pp. 711-720, July 1997.
14. M. Turk et al., "Eigenfaces for Recognition," Journal of Cognitive Neuroscience, Vol. 3, No. 1, pp. 71-86, 1991.
15. Andrew W. Senior, "Face and Feature Finding for a Face Recognition System," Proceedings of the Second International Conference on Audio- and Video-based Biometric Person Authentication, 6 pages, March 1999.
16. D.K. Freeman et al., "The Voice Activity Detector for the Pan-European Digital Cellular Mobile Telephone Service," IEEE, CH2673-2, pp. 369-372, 1989.
17. S.P. Whiteside, "Simulated Emotions: An Acoustic Study of Voice and Perturbation Measures," ICSLP '98, 4 pages, 1998.
18. C. Pereira et al., "Some Acoustic Characteristics of Emotion," ICSLP '98, 3 pages, 1998.
19. N. Amir et al., "Towards an Automatic Classification of Emotions in Speech," ICSLP '98, 4 pages, 1998.
20. Iain Matthews, "Features for Audio-Visual Speech Recognition," Ph.D dissertation, School of Information Systems, University of East Anglia, pp. 1-207, September 1998.
21. A.H. Gee et al., "Determining the Gaze of Faces in Images," University of Cambridge, CUED/F-INFENG/TR 174, pp. 1-20, March 1994.

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